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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(21) International Application Number: PCT/EP90/00032  (22) International Filing Date: 8 January 1990 (08.01.90)  (30) Priority data: 19050 A/89 10 January 1989 (10.01.89) IT		(81) Designated States: AT (European patent), AU, BE (European patent), BG, BR, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), HU, IT (European patent), JP, KR, LU (European patent), NL (European patent), NO, RO, SE (European patent), SU, US.  Published With international search report.	
(71) Applicant (for all designated States except US): CONSIGLIO NAZIONALE DELLE RICERCHE [IT/IT]; Piazzale Aldo Moro, 7, I-00185 Roma (IT).  (72) Inventor; and  (75) Inventor/Applicant (for US only): SPADAFORA, Corrado [IT/IT]; Via dei Gozzadini, 63, I-00165 Roma (IT).  (74) Agents: GERVASI, Gemma et al.; Notarbartolo & Gervasi S.r.l., Viale Bianca Maria, 33, I-20122 Milano (IT).			

(54) Title: PROCESS FOR THE INTRODUCTION OF EXOGENOUS DNA IN SOMATIC AND GERM ANIMAL CELLS

(57) Abstract

A process is described for the introduction of exogenous DNA into somatic and germ animal cells: the DNA, exogenous or modified according to known techniques of recombinant DNA, is introduced into the animal spermatozoa which are to be modified and said spermatozoa are employed for egg fertilization according to usual artificial fertilization techniques.

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PROCESS FOR THE INTRODUCTION OF EXOGENOUS DNA IN SOMATIC AND GERM ANIMAL CELLS

1. Field of the invention

The present invention refers to a process for the introduction of exogenous DNA into somatic and germ animal cells.

In particular, the process consists in introducing DNA, exogenous or modified according to known techniques of recombinant DNA, into the spermatozoa of the animal which is to be modified and in employing said spermatozoa for egg fertilization according to known techniques for artificial fertilization.

2.Prior technique

10 The creation of transgenic animals, that is of animals in which are permanently integrated genetic informations extraneous to their own genomes and deriving from other genetic systems, has been and still is an objective of primary importance for the study of genetic regulation, both for chemical and therapeutical ends and for  
15 breeding domestic mammals, fish, echinoderma and amphibia.

It is possible in fact to create animals with particular advantageous characteristics, such as e.g. rate of growth or resistance to certain diseases in the case of animals for breeding, or, viceversa, predisposition to certain diseases in the case of  
20 animals utilized for experimenting new drugs. The first attempts at obtaining transgenic animals go back to the middle of the seventies. Those attempts were chiefly based on the manipulation of mice embryos or of cultured cells and on the direct DNA (e.g. SV 40)

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high rate of abortions, the high rate of mosaicism in the obtained animals and the marked sterility of the same.

3. The technical problem

The fundamental technical problem which is solved by the present invention is the introduction of DNA, treated according to known techniques of recombinant DNA, into the cellules of an animal pertaining to an animal species which does not actually posses the typical sequences of the introduced exogenous DNA, with the result that the genetic informations contained in said recombinant DNA is permanently integrated in the genomes of the treated individual and may therefore be transmitted to the successive progeny of the individual.

D. Detailed description of the invention

The process for the introduction of cloned DNA into the cells of a different species according to the present invention is based on an experimental observation, namely the surprising easiness with which molecules, even if of large dimensions, succeed in penetrating into the spermatozoa head.

This property, typical of spermatozoa both of mammals and of other animal species, was utilized to modify the spermatozoa, introducing in them the cloned DNA to be transferred.

With the modified spermatozoa, the corresponding oocytes are then fertilized by means of the artificial fertilization techniques employed with unmodified spermatozoa.

According to a fundamental characteristic of the present invention,

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We have employed this animal because all the laboratory technique for its "in vitro" fertilization and for the study of the integration and expression of its genes are amply reported in the scientific literature.

- 5 As exogenous DNA we used p SV2 CAT, Polyoma and the human growth gene, because their restriction maps are described in literature and comprise base sequences which are not naturally present in mouse genome.

The identification of these sequences in the "positive" mouse, that 10 is in the mouse obtained from the egg fertilized with the treated spermatozoa, allows to ascertain without the shadow of a doubt that the cloned DNA was actually introduced into the treated spermatozoa and through these into the fertilized eggs and therefore integrated into the genome of the resulting transgenic individuals.

- 15 a) preparations of the spermatozoa.

A spermatozoa suspension was prepared by pressing the epididymis of a male mouse into 1 ml PM buffer (prepared as described by D.G. Whittingham. Culture of Mouse - ove - (1971) - J Reprod. Fert. Supp. 14, p.7-21).

- 20 The spermatozoa suspension was centrifuged so to separate the spermatozoa which were again suspendend in 1 ml of buffer.

The above treatment was repeated 5 times so to "wash" the spermatozoa by assuring the complete elimination of seminal liquor.

- 25 The buffer was modified eliminating sodium lactate, penicillin and steptomycin, substituting monosodiumphosphate by 0.15 mM

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hours at a temperature of from 20° to 37°C, in air containing more than 5% to 10% of carbon dioxide. At the end of said period, the eggs are washed with M16 buffer (prepared as described by Whittingam -see point a) supra) and left for an entire night in 50 5 µl of the same buffer.

After 24 hours the embryos are surgically transferred, at the stage of two cellules, into the oviducts of pseudogravid females. The offsprings deriving from these implants, at the age of three weeks, are amputated of a terminal tail fragment, from which the DNA 10 is extracted which is analyzed with the aid of the "Southern blot" described in the book "Molecular Cloning": A Laboratory Manual" by T. Maniatis et al. - C.S.M., New York 1984.

This analysis allows to identify "positive" individuals, that is those whose genome posses, integrated or in episomic form, one or 15 more copies of the same cloned DNA introduced into the starting spermatozoa.

The yield of "positive" individuals obtained following the process of the present invention is always higher than 30% up to 70%, and, what is more, no sterile individuals are found among them.

20 The successive genetic characterization of the positive animals is carried out with the two analysis methods of restriction and sequence.

The analysis of the genome DNA of positive mice was carried out according to two methods:

25 **Restriction Analysis**

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The two restricted DNA were then mixed, recircularized and introduced into Eschericia Coli HB101 bacteria, which were then cultivated on Agar + Ampicillin.

The positive colonies (that is the ones containing a cloned fragment) were separated, amplified and purified. The cloned fragment was then separated from the pUC13 vector by restriction with EcoRI and 626 bases were sequenced from it using the Langer method.

It was thus possible to ascertain that the initial clone was transferred from the spermatozoa into the fertilized egg and then integrated into the genome of the resulting individual.

Beside the two methods reported above, we have carried out an analysis of the spermatozoa after their transformation with the cloned DNA, to the end of ascertaining the location of the exogenous DNA.

To this end we employed  $\text{H}^3$  labeled DNA, and various aliquots of the spermatozoa solutions after their incubation with labeled DNA were radio-autographed at the optical and at the electronic microscope.

The obtained results have evidenced that cloned DNA is specifically located inside the spermatozoa head in sub-equatorial position.

Traces of radioactivity in other regions of the spermatozoa are insignificant.

As it is known that the acrosomal fusion reaction between spermatozoa and oocyte at the moment of fertilization (with transferral of genetic material from spermatozoa to the egg) takes

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CLAIMS

- 1 1. Process for the introduction of exogenous DNA into somatic and  
2 germ animal cells characterized by the introduction of said DNA,  
3 exogenous or modified according to known DNA recombinant techniques,  
4 into the spermatozoa of the animal which one intends to modify, and  
5 by the use of said spermatozoa for fertilizing eggs according to  
6 usual artificial fertilization techniques.
- 1 2. Process according to claim 1, characterized in that:
  - 2 a) a water spermatozoa suspension is prepared;
  - 3 b) the spermatozoa are modified with the cloned DNA;
  - 4 c) oocytes are fertilized "in vitro" by means of the modified  
5 spermatozoa;
  - 6 d) the fertilized oocytes are implanted into pseudogravid females of  
7 the selected species.
- 1 3. Process according to claim 2, characterized in that said aqueous  
2 spermatozoa suspension is buffered with a FM buffer, diluted to a  
3 spermatozoa concentration of 1-2 millions/ml and incubated at 20° to  
4 37°C for a period of from 30 minutes to 3 hours, in air containing  
5 more than 5% up to 10% of carbon dioxide.
- 1 4. Process according to claim 2, characterized in that the circular  
2 cloned DNA solution to be inserted into the spermatozoa is added to  
3 said incubated aqueous spermatoza suspension and incubated further  
4 for at least 30 minutes at a temperature of from 0° to 37°C, said  
5 solution being added in an amount such as to have a final cloned DNA  
6 concentration in the mixture of from 0.4 to 2 µg/ml.

**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.**

**EP 9000032**

**SA 33170**

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 11/04/90. The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO-A- 8705325	11-09-87	DE-A- 3636991		24-09-87

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/EP 90/00032

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply indicate all)  
According to International Patent Classification (IPC) or to both National Classification and IPC

IPC<sup>5</sup>: C 12 N 15/87

## II. FIELDS SEARCHED

Classification System	Minimum Documentation Searched*	Classification Symbols
IPC <sup>5</sup>	C 12 N	
Documentation Searched Other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched*		

## III. DOCUMENTS CONSIDERED TO BE RELEVANT\*

Category* <sup>1</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X	WO, A, 87/05325 (TRANSGENE GmbH) 11 September 1987 see pages 29-33 --	1,2,5,6
X	Proceedings of the National Academy of Sciences, volume 68, no. 2, February 1971, (US), B.G. Brackett et al.: "Uptake of heterologous genome by mammalian spermatozoa and its transfer to ova through fertilization", pages 353-357 see the whole article --	1
P,X	Cell, volume 57, 2 June 1989, Cell Press, M. Lavitrano et al.: "Sperm cells as vectors for introducing foreign DNA into Eggs: genetic transformation of mice", pages 717-723 see the whole article -- --	1-6 . / .

- \* Special categories of cited documents: 10
- "A" document defining the general state of the art which is not considered to be of particular relevance
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- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "Z" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search

21st March 1990

International Searching Authority

EUROPEAN PATENT OFFICE

Date of Mailing of this International Search Report

24.04.90

Signature of Authorized Officer

F.W. HECK

CORRECTED  
VERSION \*

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International Bureau



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(71) Applicant (for all designated States except US): CONSIGLIO NAZIONALE DELLE RICERCHE [IT/IT]; Piazzale Aldo Moro, 7, I-00185 Roma (IT).			
(72) Inventor; and (75) Inventor/Applicant (for US only): SPADAFORA, Corrado [IT/IT]; Via dei Gozzadini, 63, I-00165 Roma (IT).			
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